

SOME ITERATIVE SCHEMES FOR OBTAINING APPROXIMATE SOLUTION OF NONLINEAR EQUATIONS

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In this paper, we suggest and analyze some new iterative methods for solving nonlinear equation $f(x) = 0$ by using the variational iteration technique. We also give several examples to illustrate the efficiency of these methods. Comparison with other similar methods is also given. These new methods can be considered as alternative to the developed fourth order methods. This technique can be used to suggest a wide class of new iterative methods for solving nonlinear equations.

Keywords: Variational iteration technique; Iterative method; Convergence; Newton's method; Taylor series.

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1. Introduction

Finding roots of nonlinear equations efficiently has widespread applications in numerical mathematics. Due to their importance and significant applications in various branches of science, several methods are being developed for solving $f(x) = 0$ using different techniques such as Taylor series, quadrature formulas, homotopy perturbation method, Adomian decomposition and variational iteration technique [1-20]. Newton method for a single nonlinear equation is written as

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}, \quad n = 0, 1, 2, 3, \dots$$

This is an important and basic method [19], which converges quadratically. To improve the local order of convergence, many modified methods have been proposed. See [2, 3] and [9-14].

In this paper, we use the variational iteration technique to suggest and analyze some new iterative methods for solving the nonlinear equations. We would like to mention that the variational iteration technique was developed by He [6] and has been used to solve a wide class of problems arising in various branches of pure and

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applied sciences. The variational iteration technique is very reliable and efficient. See also Noor and Mohyud-Din [15] and the references therein. Essentially using the idea and technique of He [6], Noor and Shah [16] has suggested and analyzed some iterative methods for solving the nonlinear equations. Now we have used this technique to gain higher order convergent iterative methods. We show that the new methods include only 1st derivative of the functions and these are free from higher order derivatives. Several examples are given to illustrate the efficiency and performance of these new methods and their comparison with other iterative methods. These new methods can be considered as alternative to the existing higher order methods.

2. Construction of iterative methods

In this section, we construct some fourth order iterative methods for solving nonlinear equations. We use He's variational iteration technique to develop the main reoccurrence relation which will generate the iterative methods for the approximate solution of nonlinear equation. Comparison of Traub's method [19] and Ostrowski method [17] will allow us to remove $f'(y_n)$ and diversify the relation with better efficiency index.

Consider the nonlinear equation of the type

$$f(x) = 0. \quad (1)$$

We assume that p is a simple root and γ is an initial guess sufficiently close to p . Let $g(x)$ be any arbitrary function and λ be a parameter which is usually called the Lagrange's multiplier and can be identified by the optimality condition. Consider the auxiliary function

$$H(x) = \phi(x) + \lambda[f(\phi(x))g(\phi(x))], \quad (2)$$

where $\phi(x)$ is the arbitrary auxiliary function of order $p \geq 1$.

Note that, if $\phi(x) = I$ and $p = 1$, then (2) reduces to the following

$$H(x) = x + \lambda[f(x)g(x)], \quad (3)$$

which was considered and analyzed by He [6]. See also Noor [10, 11].

Thus we conclude that our scheme (2) includes the He's scheme as a special case. For $p = 2$, the relation (2) is studied in [16].

In this paper, our aim is to analyze the relation (2) for obtaining higher order methods.

Using the optimality criteria, we can obtain the value of λ from (2) as

$$\lambda = -\frac{\phi(x)}{[g'(\phi(x))f(\phi(x)) + g(\phi(x))f'(\phi(x))]} \quad (4)$$

From (3) and (4), we have

$$H(x) = \phi(x) - \frac{f(\phi(x))g(\phi(x))}{[g'(\phi(x))f(\phi(x)) + g(\phi(x))f'(\phi(x))]} \quad (5)$$

Let us consider

$$\phi(x) = y = x - \frac{f(x)}{f'(x)}. \quad (6)$$

Using (6) in (5), we obtain the following iterative relation for solving the nonlinear equations as:

$$H(x) = y - \frac{f(y)g(y)}{[g'(y)f(y) + g(y)f'(y)]}. \quad (7)$$

We observe that, if p is the root of $f(x)$, then for $x = p$,

$$\frac{g'(y)}{g(y)} = \frac{g'(p - \frac{f(p)}{f'(p)})}{g(p - \frac{f(p)}{f'(p)})} = \frac{g'(p)}{g(p)}. \quad (8)$$

Also we have

$$\frac{g'(x)}{g(x)} = \frac{g'(p)}{g(p)}. \quad (9)$$

Combining (8) and (9), we obtain

$$\frac{g'(y)}{g(y)} = \frac{g'(x)}{g(x)}. \quad (10)$$

We now replace $\frac{g'(y)}{g(y)}$ by $\frac{g'(x)}{g(x)}$ in (7) and obtain the following

$$H(x) = y - \frac{f(y)g(x)}{g'(x)f(y) + g(x)f'(y)}.$$

This fixed point formulation enables to define the following iterative method

$$x_{n+1} = y_n - \frac{f(y_n)g(x_n)}{g'(x_n)f(y_n) + g(x_n)f'(y_n)} \quad (11)$$

From the above scheme, for different values of the auxiliary function $g(x_n)$ one can obtain several iterative methods of fourth order convergence for solving nonlinear equations. Here our aim is to improve the efficiency of the above iterative scheme by removing $f'(y_n)$. For this we consider the two well known iterative methods i.e. Traub's method and Ostrowski method as follows.

Algorithm2.1.[19]. For a given x_0 , find the approximation solution x_{n+1} by the

following iterative schemes:

$$\begin{aligned} y_n &= x_n - \frac{f(x_n)}{f'(x_n)}, \\ x_{n+1} &= y_n - \frac{f(y_n)}{f'(y_n)}, \quad n = 0, 1, 2, 3, \dots \end{aligned}$$

Algorithm 2.1 is known as Traub's method[19] and also as double Newton method. This method is also the special case of the main iteration scheme (11), for some constant value of the auxiliary function g .

Algorithm2.2.[17]. For a given x_0 , find the approximation solution x_{n+1} by the following iterative schemes:

$$\begin{aligned} y_n &= x_n - \frac{f(x_n)}{f'(x_n)}, \\ x_{n+1} &= y_n - \frac{f(x_n)}{f'(x_n)} \frac{f(y_n)}{f(x_n) - 2f(y_n)} \quad n = 0, 1, 2, 3, \dots \end{aligned}$$

Algorithm 2.2 is known as fourth order convergent Ostrowski method, see [16, 17].

We compare the Algorithm 2.1 and Algorithm 2.2 as:

$$y_n - \frac{f(y_n)}{f'(y_n)} \approx y_n - \frac{f'(x_n)}{f(x_n)} \frac{f(y_n)}{f(x_n) - 2f(y_n)}.$$

Simplifying we obtain

$$f'(y_n) \approx \frac{f'(x_n)}{f(x_n)} [f(x_n) - 2f(y_n)] \quad (12)$$

Replacing (12) in (11), we get

$$x_{n+1} = y_n - \frac{f(x_n)f(y_n)g(x_n)}{f'(x_n)[f(x_n) - 2f(y_n)]g(x_n) + f(x_n)f(y_n)g'(x_n)}. \quad (13)$$

This is the main iterative scheme. We will use some special values of $g(x_n)$ and get the iterative methods for implementation as:

1. Let $g(x_n) = e^{\alpha x_n}$. Then from (13), we obtain the following iterative method for solving the nonlinear equation (1).

Algorithm2.3. For a given x_0 , find the approximation solution x_{n+1} by the following iterative schemes:

$$\begin{aligned} y_n &= x_n - \frac{f(x_n)}{f'(x_n)}, \\ x_{n+1} &= y_n - \frac{f(x_n)f(y_n)}{f'(x_n)[f(x_n) - 2f(y_n)] + \alpha f(x_n)f(y_n)}, \quad n = 0, 1, 2, \dots \end{aligned}$$

If $\alpha = 0$, then Algorithm 2.3 reduces to the well known Ostrowski method.

2. Let $g(x_n) = e^{\alpha f(x_n)}$. Then from (13), we obtain the following iterative method for solving the nonlinear equation (1).

Algorithm2.4. For a given x_0 , find the approximation solution x_{n+1} by the following iterative schemes:

$$\begin{aligned} y_n &= x_n - \frac{f(x_n)}{f'(x_n)}, \\ x_{n+1} &= y_n - \frac{f(x_n)f(y_n)}{f'(x_n)([f(x_n) - 2f(y_n)] + \alpha f(x_n)f(y_n))}, n = 0, 1, 2, \dots \end{aligned}$$

If $\alpha = 0$, then Algorithm 2.4 reduces to the well known Ostrowski method.

3. Let $g(x_n) = e^{-\frac{\alpha}{f'(x_n)}}$. Then $g'(x_n) = e^{-\frac{\alpha}{f'(x_n)}} \left(\frac{\alpha f''(x_n)}{[f'(x_n)]^2} \right)$.

Using the Taylor series technique as used in [16], we have

$$f(y_n) \approx f(x_n) + (y_n - x_n)f'(x_n) + \frac{(y_n - x_n)^2}{2}f''(x_n) = \frac{[f(x_n)]^2 f''(x_n)}{2[f'(x_n)]^2} \quad (14)$$

Now from (13) and (14), we have the following iterative method.

Algorithm2.5. For a given x_0 , find the approximation solution x_{n+1} by the following iterative schemes:

$$\begin{aligned} y_n &= x_n - \frac{f(x_n)}{f'(x_n)}, \\ x_{n+1} &= y_n - \frac{[f(x_n)]^2 f(y_n)}{f(x_n)f'(x_n)[f(x_n) - 2f(y_n)] + 2\alpha f(y_n)^2}, n = 0, 1, 2, \dots \end{aligned}$$

4. Let $g(x_n) = e^{-\frac{\alpha f(x_n)}{f'(x_n)}}$. Then from (13), we have the following iterative scheme after combining with (14) for solving the nonlinear Eq. (1).

Algorithm2.6. For a given x_0 , find the approximation solution x_{n+1} by the following iterative schemes:

$$\begin{aligned} y_n &= x_n - \frac{f(x_n)}{f'(x_n)}, \\ x_{n+1} &= y_n - \frac{f(x_n)f(y_n)}{[f(x_n) - 2f(y_n)][f'(x_n) + 2\alpha f(y_n)]}, n = 0, 1, 2, \dots \end{aligned}$$

It is important to say that never choose such a value of α which makes the denominator zero. It is necessary that sign of α should be chosen so as to keep the denominator largest in magnitude in above Algorithms.

3. Convergence analysis

In this section, we consider the convergence criteria of the main iterative scheme (13) developed in section 2.

Theorem 3.1. Assume that the function $f : \mathcal{D} \subset \mathbb{R} \rightarrow \mathbb{R}$ for an open interval in \mathcal{D} with simple root $p \in \mathcal{D}$. Let $f(x)$ be a smooth sufficiently in some neighborhood of root and then (13) has fourth order convergence.

Proof. Let p be a simple root of the nonlinear equation $f(x)$. Since f is sufficiently differentiable. Expanding $f(x)$ and $f'(x)$ in Taylor's series at p , we obtain

$$f(x_n) = f'(p)[e_n + c_2 e_n^2 + c_3 e_n^3 + c_4 e_n^4 + c_5 e_n^5 + c_6 e_n^6 + O(e_n^7)]. \quad (15)$$

and

$$f'(x_n) = f'(p)[1 + 2c_2 e_n + 3c_3 e_n^2 + 4c_4 e_n^3 + 5c_5 e_n^4 + 6c_6 e_n^5 + O(e_n^7)]. \quad (16)$$

where

$$e_n = x_n - p, c_k = \frac{f^{(k)}(p)}{k!f'(p)} \text{ and } k = 2, 3, \dots$$

From (15) and (16), we get

$$\begin{aligned} \frac{f(x_n)}{f'(x_n)} &= e_n - c_2 e_n^2 + 2(c_2^2 - c_3)e_n^3 + (7c_2 c_3 - 4c_2^3 - 3c_4)e_n^4 \\ &\quad + (8c_2^4 - 20c_3 c_2^2 + 6c_3^2 + 10c_2 c_4 - 4c_5)e_n^5 + (13c_2 c_5 - 28c_2^2 c_4 \\ &\quad - 5c_6 - 16c_2^5 + 52c_2^3 c_3 + 17c_3 c_4 - 33c_2 c_3^2)e_n^6 + O(e_n^7). \end{aligned} \quad (17)$$

Using (17), we have

$$\begin{aligned} y_n &= p + c_2 e_n^2 - 2(c_2^2 - c_3)e_n^3 - (7c_2 c_3 - 4c_2^3 - 3c_4)e_n^4 - (8c_2^4 \\ &\quad - 20c_3 c_2^2 + 6c_3^2 + 10c_2 c_4 - 4c_5)e_n^5 - (13c_2 c_5 - 28c_2^2 c_4 \\ &\quad - 5c_6 - 16c_2^5 + 52c_2^3 c_3 + 17c_3 c_4 - 33c_2 c_3^2)e_n^6 + O(e_n^7). \end{aligned} \quad (18)$$

From (18), we obtain

$$\begin{aligned} f(y_n) &= f'(p)[c_2 e_n^2 - 2(c_2^2 - c_3)e_n^3 - (7c_2 c_3 - 5c_2^3 - 3c_4)e_n^4 \\ &\quad - (12c_2^4 - 24c_3 c_2^2 + 6c_3^2 + 10c_2 c_4 - 4c_5)e_n^5 + O(e_n^7)] \end{aligned} \quad (19)$$

From (15) and (19), we have

$$\begin{aligned} f(x_n) - 2f(y_n) &= f'(p)[e_n - c_2 e_n^2 + (4c_2^2 - 3c_3)e_n^3 + (14c_2 c_3 \\ &\quad - 10c_2^3 - 5c_4)e_n^4 + O(e_n^5)]. \end{aligned} \quad (20)$$

From (15) and (19), we also obtain

$$\begin{aligned} f(x_n)f(y_n)g(x_n) &= f'^2[g(p)c_2 e_n^3 + (g'(p)c_2 - g(p)c_2^2 \\ &\quad + 2g(p)c_3)e_n^4 + O(e_n^5)] \end{aligned} \quad (21)$$

Now using (15), (16), (20) and (21), we get

$$\begin{aligned} f'(x_n)[f(x_n) - 2f(y_n)]g(x_n) + f(x_n)f(y_n)g'(x_n) &= f'(p)^2 \left[g(p)e_n \right. \\ &\quad \left. + (g(p)c_2 + g'(p))e_n^2 + \left(\frac{1}{2}g''(p) + 2g(p)c_2^2 \right)e_n^3 + O(e_n^4) \right] \\ &\quad \frac{f(x_n)f(y_n)g(x_n)}{f'(x_n)[f(x_n) - 2f(y_n)]g(x_n) + f(x_n)f(y_n)g'(x_n)} \end{aligned} \quad (22)$$

$$= \left[c_2 e_n^2 + (2c_3 - c_2^2) e_n^3 + \left(\frac{g'(p)}{g(p)} c_2^2 + 3c_2^3 + c_4 - 6c_2 c_3 \right) e_n^4 + O(e_n^5) \right] \quad (23)$$

Now from (18) and (23), we get

$$x_{n+1} = p + \left(\frac{g'(p)}{g(p)} c_2^2 + 3c_2^3 + c_4 - 6c_2 c_3 \right) e_n^4 + O(e_n^5) \quad (24)$$

Finally, the error equation is

$$e_{n+1} = \left(\frac{g'(p)}{g(p)} c_2^2 + 3c_2^3 + c_4 - 6c_2 c_3 \right) e_n^4 + O(e_n^5) \quad (25)$$

Thus we conclude that the main recurrence relation (13) has fourth-order convergence and all the methods derived from (13) has also fourth order convergence. \square

4. Numerical results

We now present some examples to illustrate the efficiency of the new developed two-step iterative methods (see Tables 4.1-4.6). We compare the Newton method (NM) [19], Turab's method (TM) [19], Ostrowski method (OM) [17] Algorithm 2.3 (NR1), Algorithm 2.4 (NR2), Algorithm 2.5 (NR3) and Algorithm 2.6 (NR4) which are introduced here in this paper. We also note that these methods do not require the computation of second derivative to carry out the iterations. All computations are done using the MAPLE using 60 digits floating point arithmetics (Digits: =60). We will use $\varepsilon = 10^{-32}$. The following stopping criteria are used for computer programs.

$$(i) \quad |x_{n+1} - x_n| \leq \varepsilon, \quad (ii) \quad |f(x_n)| \leq \varepsilon.$$

The computational order of convergence p approximated for all the examples in Tables 4.1-4.6, (see [19]) by means of

$$p = \frac{\ln(|x_{n+1} - x_n|/|x_n - x_{n-1}|)}{\ln(|x_n - x_{n-1}|/|x_{n-1} - x_{n-2}|)}$$

along with the total number of functional evaluations (TNFE) as required for the iterations during the computation.

We consider the following nonlinear equations as test problems which are same as Noor and Noor [14].

$$\begin{aligned} f_1(x) &= \sin^2 x - x^2 + 1, \\ f_2(x) &= x^2 - e^{-x} 3x + 2, \\ f_3(x) &= x e^{x^2} - \sin^2 x + 3 \cos x + 5, \\ f_4(x) &= e^{x^2 - 7x - 30} - 1 \end{aligned}$$

Table4.1. Comparison of iterative schemes. ($f_1, x_0 = 1.0, \alpha = 1$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	7	14	1.4044916482153	1.04e-50	7.33e-26	2.00003
TM	4	16	1.4044916482153	0.00e-01	7.33e-26	4.29576
OM	4	12	1.4044916482153	0.00e-01	5.64e-28	4.24367
NR1	4	12	1.4044916482153	0.00e-01	2.14e-27	4.27401
NR2	4	12	1.4044916482153	0.00e-01	4.20e-18	3.97200
NR3	4	12	1.4044916482153	0.00e-01	3.48e-17	4.29898
NR4	4	12	1.4044916482153	0.00e-01	2.00e-16	4.52494

Table4.2. Comparison of iterative schemes. ($f_1, x_0 = 1.0, \alpha = 0.5$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	7	14	1.4044916482153	1.04e-50	7.33e-26	2.00003
TM	4	16	1.4044916482153	0.00e-01	7.33e-26	4.29576
OM	4	12	1.4044916482153	0.00e-01	5.64e-28	4.24367
NR1	4	12	1.4044916482153	0.00e-01	9.57e-23	4.25801
NR2	4	12	1.4044916482153	0.00e-01	1.80e-43	3.87150
NR3	4	12	1.4044916482153	0.00e-01	2.33e-22	4.34817
NR4	4	12	1.4044916482153	0.00e-01	2.83e-24	4.09265

Table4.3. Comparison of iterative schemes. ($f_2, x_0 = 2.0, \alpha = 1$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	6	12	0.2575302854398	2.93e-55	9.10e-28	2.00050
TM	4	16	0.2575302854398	1.00e-59	7.74e-56	3.86670
OM	4	12	0.2575302854398	0.00e-01	2.70e-23	4.15500
NR1	4	12	0.2575302854398	2.00e-59	4.23e-24	4.29911
NR2	4	12	0.2575302854398	1.00e-59	2.26e-16	4.10939
NR3	4	12	0.2575302854398	0.00e-01	2.60e-25	4.49624
NR4	4	12	0.2575302854398	0.00e-01	1.35e-40	3.92927

Table4.4. Comparison of iterative schemes. ($f_2, x_0 = 2.0, \alpha = 0.5$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	6	12	0.2575302854398	2.93e-55	9.10e-28	2.00050
TM	4	16	0.2575302854398	1.00e-59	7.74e-56	3.86670
OM	4	12	0.2575302854398	0.00e-01	2.70e-23	4.15500
NR1	4	12	0.2575302854398	0.00e-01	3.37e-40	3.85293
NR2	4	12	0.2575302854398	0.00e-01	5.62e-18	4.91925
NR3	4	12	0.2575302854398	1.00e-59	2.83e-24	4.52741
NR4	4	12	0.2575302854398	1.00e-59	5.76e-27	3.96131

Table4.5. Comparison of iterative schemes. ($f_3, x_0 = -2.0, \alpha = 1$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	9	18	-1.207647827130918	2.27e-40	2.73e-21	2.00085
TM	5	20	-1.207647827130918	1.10e-58	2.73e-21	4.00484
OM	5	15	-1.207647827130918	8.00e-59	3.71e-43	4.13695
NR1	5	15	-1.207647827130918	8.00e-59	3.06e-24	4.01277
NR2	6	18	-1.207647827130918	1.10e-58	2.00e-22	4.02808
NR3	5	15	-1.207647827130918	8.00e-59	1.08e-48	4.52071
NR4	5	15	-1.207647827130918	8.00e-59	3.29e-48	3.97513

Table4.6. Comparison of iterative schemes. ($f_3, x_0 = -2.0, \alpha = 0.5$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	9	18	-1.207647827130918	2.27e-40	2.73e-21	2.00085
TM	5	20	-1.207647827130918	1.10e-58	2.73e-21	4.00484
OM	5	15	-1.207647827130918	8.00e-59	3.71e-43	4.13695
NR1	5	15	-1.207647827130918	8.00e-59	1.36e-30	4.03378
NR2	7	21	-1.207647827130918	8.00e-59	4.13e-30	4.05656
NR3	5	15	-1.207647827130918	8.00e-59	5.78e-45	4.24036
NR4	5	15	-1.207647827130918	8.00e-59	4.57e-51	3.98835

Table4.7. Comparison of iterative schemes. ($f_4, x_0 = 3.5, \alpha = 1$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	13	26	3.000000000000000	1.52e-47	4.21e-25	2.00023
TM	7	28	3.000000000000000	0.00e-01	4.21e-25	3.83827
OM	6	18	3.000000000000000	0.00e-01	6.93e-17	3.97578
NR1	6	18	3.000000000000000	0.00e-01	5.25e-23	4.03116
NR2	6	18	3.000000000000000	0.00e-01	5.14e-19	4.04841
NR3	6	18	3.000000000000000	0.00e-01	7.08e-18	4.15183
NR4	6	18	3.000000000000000	0.00e-01	5.14e-19	4.12008

Table4.8. Comparison of iterative schemes. ($f_4, x_0 = 3.5, \alpha = 0.5$)

Method	IT	TNFE	x_n	$ f(x_n) $	δ	p
NM	13	26	3.000000000000000	1.52e-47	4.21e-25	2.00023
TM	7	28	3.000000000000000	0.00e-01	4.21e-25	3.83827
OM	6	18	3.000000000000000	0.00e-01	6.93e-17	3.97578
NR1	6	18	3.000000000000000	0.00e-01	1.54e-19	3.98918
NR2	6	18	3.000000000000000	2.00e-58	1.13e-30	4.04841
NR3	5	15	3.000000000000000	2.00e-58	2.47e-17	4.05576
NR4	6	18	3.000000000000000	2.00e-58	4.96e-16	3.92802

5. Conclusions

In this work we have presented some new fourth-order convergent iterative methods for solving nonlinear equations. These all methods are free from 2^{nd} derivative. These methods are compared with some other methods and the proposed methods have been observed to have at least better performance. If we consider the definition of efficiency index [4] as $p^{\frac{1}{m}}$ where p is the order of the method and m is the number of functional evaluations per iteration required by the method, we have that all of the methods obtained have the efficiency index equal to $4^{\frac{1}{3}} \approx 1.5874$ which is better than the one of Newton's method $2^{\frac{1}{2}} \approx 1.4142$.

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