

## SOME PROPERTIES OF MINIMAL AND MAXIMAL OPERATORS IN AN ABSTRACT FRAMEWORK

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*The main goal of this paper is to give a two-parameter abstract framework in which we build a theory of minimal and maximal operators associated to a linear operator  $A : \mathcal{D}(A) \subset X \rightarrow X$ , with dense domain  $\mathcal{D}(A)$ , where  $X$  is a complex Banach space. We prove an analogue of the Agmon-Douglis-Nirenberg inequality for pseudo-differential operators in our abstract setting. Using this inequality, we show that the minimal and maximal operators of the operator  $A$  are equal under suitable hypotheses on the complex Banach space  $X$  and on the operator  $A$ . As an application, we study the existence and regularity of weak solutions of the linear equations  $Au = f$  on the reflexive complex Banach space  $X$ . Further, we prove a perturbation result regarding the Agmon-Douglis-Nirenberg estimate when the operator  $A$  is perturbed by a potential operator with some suitable properties. Moreover, an application to strongly continuous semigroups of contractions generated by the operator  $A$  is given. Finally, we prove that the minimal operator of the operator  $A$  is Fredholm under suitable hypotheses.*

**Keywords:** minimal and maximal operators, Agmon-Douglis-Nirenberg inequality, strongly continuous semigroups, dissipative operators, Fredholm operators

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### 1. Introduction

In this work, following Wong's point of view in the paper [16], we build an abstract framework in which we construct and study the minimal and maximal operators associated to an operator  $A : \mathcal{D}(A) \subset X \rightarrow X$ , with dense domain  $\mathcal{D}(A)$ , where  $X$  is a complex Banach space.

We must emphasize that this abstract framework includes certain concrete cases of Lebesgue and Sobolev spaces and classes of pseudo-differential operators such as M-hypoelliptic pseudo-differential operators (see [6], [7], [12]), SG-pseudo-differential operators (see [4], [5], [8], [13]) or hybrid pseudo-differential operators (see [2]) defined on these spaces.

The paper is organised as follows.

In Section 2, we introduce the weighted Bessel potentials of orders  $(s_1, s_2) \in \mathbb{R}^2$  and we define the  $X$ -Sobolev spaces by using them. Moreover, we introduce a class of linear operators of orders  $(m_1, m_2) \in \mathbb{R}^2$  in connection with the  $X$ -Sobolev spaces. This class of linear operators remembers us of the class of hybrid pseudo-differential operators in [2]. Some notations and facts concerning the minimal and maximal operators associated to an operator  $A$  are also recalled (see [16]). In Section 3, we state and prove an analogue of the

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Agmon-Douglis-Nirenberg (A-D-N) inequality for pseudo-differential operators in the case of an operator  $A : \mathcal{D}(A) \subset X \rightarrow X$ , with dense domain  $\mathcal{D}(A)$ , under suitable hypotheses. Using the A-D-N inequality, we prove that the minimal and maximal operators are equal under reasonable hypotheses on the complex Banach space  $X$  and the operator  $A$ . As an application of this fact, we get the existence and regularity of weak solutions of the linear equations  $Au = f$  on the Banach space  $X$ . Two perturbation results, one regarding the A-D-N inequality and the other result concerning the strongly continuous semigroup of contractions, are given in Section 4. More precisely, we prove a type of the A-D-N inequality in the case when the operator  $A$  is perturbed by a potential with some suitable properties. If  $A$  is the infinitesimal generator of a semigroup of contractions, then  $A_0 + V$  is also the infinitesimal generator of an one parameter strongly semigroup of contractions, where  $A_0$  is the minimal operator associated to the operator  $A$  and  $V$  is a maximally dissipative operator with some suitable properties. In Section 5, the last one, we prove that under reasonable hypotheses on the operator  $A$ , its minimal operator  $A_0$  is Fredholm.

## 2. Preliminaries

Let  $X$  be a complex Banach space whose norm is denoted by  $\|\cdot\|_X$  and let  $S$  be a dense subspace of  $X$ . We consider that  $S$  is a topological vector space of which topology is defined by a countable family of semi-norms  $\{\|\cdot\|_j : j = 1, 2, \dots\}$ .

We say that a sequence  $\{\varphi_k\}$  in  $S$  converges to an element  $\varphi$  in  $S$  if and only if  $|\varphi_k - \varphi|_j \rightarrow 0$  as  $k \rightarrow \infty$  for all  $j = 1, 2, \dots$ . We denote by  $S'$  the space of all continuous linear functionals on the space  $S$  and by  $(u, \varphi)$  the value of a functional  $u$  in  $S'$  at an element  $\varphi$  in  $S$ .

A functional  $u$  is continuous if and only if  $(u, \varphi_k) \rightarrow 0$  as  $k \rightarrow \infty$  for all sequences  $\{\varphi_k\}$  converging to zero in  $S$  as  $k \rightarrow \infty$ .

A sequence  $\{u_k\}$  in  $S'$  is said to converge to an element  $u$  in  $S'$  if and only if  $(u_k, \varphi) \rightarrow (u, \varphi)$  as  $k \rightarrow \infty$  for all  $\varphi$  in  $S$ . We assume that the spaces  $X$  and  $X'$  are continuously embedded in  $S'$ .

The definitions and notations used above are similar to the ones used in the theory of distributions and are also used by Wong in [16].

Now, we present the abstract framework in which we will work, framework that is similar to the one in the paper [16] and can concretely be encountered in the theory of distributions.

Let us suppose that there exists a family of reflexive complex Banach spaces  $X_{s_1, s_2}^\Lambda$  with norms denoted by  $\|\cdot\|_{s_1, s_2, \Lambda, X, -\infty} < s_1, s_2 < \infty$ , where  $\Lambda : \mathbb{R}^n \rightarrow \mathbb{R}_+$  is a weight positive function and a two-parameter group of continuous linear mappings  $J_{s_1, s_2}^\Lambda : S' \rightarrow S', -\infty < s_1, s_2 < \infty$ , satisfying the following conditions:

(i)  $J_{s_1, s_2}^\Lambda$  maps  $S$  into  $S$ ,  $-\infty < s_1, s_2 < \infty$  and  $J_{\varepsilon, \varepsilon}^\Lambda : X \rightarrow X$  is a compact operator for every positive number  $\varepsilon$ .

(ii)  $X_{s_1, s_2}^\Lambda = \{u \in S' : J_{-s_1, -s_2}^\Lambda u \in X\}, -\infty < s_1, s_2 < \infty$ .  
 (iii)

$$\|u\|_{s_1, s_2, \Lambda, X} = \|J_{-s_1, -s_2}^\Lambda u\|_X, u \in X_{s_1, s_2}^\Lambda, -\infty < s_1, s_2 < \infty. \quad (2.1)$$

(iv)

Let  $s_j \leq t_j$ ,  $j = 1, 2$ . Then,  $X_{t_1, t_2}^\Lambda \subseteq X_{s_1, s_2}^\Lambda$  and

$$\|u\|_{s_1, s_2, \Lambda, X} \leq \|u\|_{t_1, t_2, \Lambda, X}, \quad u \in X_{t_1, t_2}^\Lambda. \quad (2.2)$$

(v)  $X_{s_1, s_2}^\Lambda$  can be continuously embedded in  $S', -\infty < s_1, s_2 < \infty$ .

(vi)  $S$  can be continuously embedded in  $(X_{s_1, s_2}^\Lambda)'$  and  $(X_{s_1, s_2}^\Lambda)'$  can be continuously embedded in  $S'$ ,  $-\infty < s_1, s_2 < \infty$ .

(vii)

$$(u, \varphi) = \overline{(\varphi, u)}, u \in X_{s_1, s_2}^\Lambda, \varphi \in S, -\infty < s_1, s_2 < \infty. \quad (2.3)$$

We call  $J_{s_1, s_2}^\Lambda$  the weighted Bessel potentials of orders  $(s_1, s_2) \in \mathbb{R}^2$  and  $X_{s_1, s_2}^\Lambda$  the  $X$ -Sobolev spaces of orders  $(s_1, s_2) \in \mathbb{R}^2$ .

**Definition 2.1.** Let  $T : S' \rightarrow S'$  be a continuous linear mapping.

We assume that there exists a pair of real numbers  $(m_1, m_2)$  such that  $T : X_{s_1, s_2}^\Lambda \rightarrow X_{s_1 - m_1, s_2 - m_2}^\Lambda$  is a bounded linear operator for all  $(s_1, s_2) \in \mathbb{R}^2$ . We say that  $T$  is an operator of order  $(m_1, m_2)$  if  $m_1$  and  $m_2$  are the least numbers for which  $T : X_{s_1, s_2}^\Lambda \rightarrow X_{s_1 - m_1, s_2 - m_2}^\Lambda$  is a bounded linear operator.

If  $m_1 = m_2 = -\infty$ , then we call  $T$  an infinitely smoothing operator.

**Definition 2.2.** Let  $A : S \subset X \rightarrow X$  be a linear operator such that  $A$  maps  $S$  into  $S$  and its formal adjoint  $A^*$  maps  $S$  into  $S$  continuously. We say that  $A$  is an operator of order  $(m_1, m_2)$  if the extended operator  $A : S' \rightarrow S'$  is of order  $(m_1, m_2)$  (see the relation (2.5) for the definition of the extended operator  $A : S' \rightarrow S'$ ).

**Remark 2.1.** The two-parameter family of  $X$ -Sobolev spaces  $X_{s_1, s_2}^\Lambda$ ,  $s_1, s_2 \in \mathbb{R}$ , considered previously, define a two-parameter abstract framework which enable us to fit the theory of SG-pseudo-differential operators (see [4], [5], [8]) or the theory of a hybrid class of pseudo-differential operators (see [2]).

A one-parameter abstract framework in which the theory of minimal and maximal operators was studied for the first time was introduced by Wong in [16]. This abstract framework was used later in the joint paper [10] of Wong and Iancu in order to establish some results related to the semi-linear heat equations in Hilbert spaces. In his PhD Thesis (see [11]), Iancu used extensively this abstract framework.

**Remark 2.2.** It should be mentioned that, in various works, particular cases of the previously considered abstract framework can be found. For example, if we take  $X = L^p(\mathbb{R}^n)$ ,  $S$  is a Schwartz space of the rapidly decreasing functions,  $1 < p < \infty$ ,  $J_{s_1, s_2}^\Lambda = T_{\sigma_{s_1, s_2}}$ , where  $\sigma_{s_1, s_2}(x, \xi) = \Lambda(x)^{-s_2} \Lambda(\xi)^{-s_1}$ ,  $\infty < s_1, s_2 < \infty$  and  $\Lambda \in C^\infty(\mathbb{R}^n)$  is a weight function with some suitable properties, then we obtain a concrete two-parameter framework in the paper [2], in which the authors present their results.

In this case, for a fixed  $p \in (1, \infty)$ , the family of spaces  $H_{\Lambda, p}^{s_1, s_2}$  corresponds to spaces  $X_{s_1, s_2}^\Lambda$ ,  $\infty < s_1, s_2 < \infty$  and satisfies the conditions (i)-(vii).

**Proposition 2.1.** Let  $s_1, s_2, t_1, t_2 \in (-\infty, \infty)$ . Then,

- i)  $J_{t_1, t_2}^\Lambda : X_{s_1, s_2}^\Lambda \rightarrow X_{s_1 + t_1, s_2 + t_2}^\Lambda$  is an unitary operator;
- ii)  $S$  is dense in  $X_{s_1, s_2}^\Lambda$ .

*Proof.* i) Let  $u \in X_{s_1, s_2}^\Lambda$ . From (2.1), we obtain that

$$\|J_{t_1, t_2}^\Lambda u\|_{s_1 + t_1, s_2 + t_2} = \|J_{-s_1 - t_1, -s_2 - t_2}^\Lambda J_{t_1, t_2}^\Lambda u\|_X = \|J_{-s_1, -s_2}^\Lambda u\|_X = \|u\|_{s_1, s_2, \Lambda}.$$

Hence,  $J_{t_1, t_2}^\Lambda : X_{s_1, s_2}^\Lambda \rightarrow X_{s_1 + t_1, s_2 + t_2}^\Lambda$  is an isometry. It remains only to prove that  $J_{t_1, t_2}^\Lambda : X_{s_1, s_2}^\Lambda \rightarrow X_{s_1 + t_1, s_2 + t_2}^\Lambda$  is a surjection. For this, let  $y$  be in  $X_{s_1 + t_1, s_2 + t_2}^\Lambda$ . Thus,  $J_{-t_1, -t_2}^\Lambda y \in X_{s_1, s_2}^\Lambda$  and  $J_{t_1, t_2}^\Lambda (J_{-t_1, -t_2}^\Lambda y) = y$ .

ii) Let  $u \in X_{s_1, s_2}^\Lambda$ . Then  $J_{-s_1, -s_2}^\Lambda u \in X$ . Since  $S$  is dense in  $X$ , there exists a sequence  $\{\varphi_k\}$  of elements in  $S$  such that  $\varphi_k \rightarrow J_{-s_1, -s_2}^\Lambda u$  in  $X$  as  $k \rightarrow \infty$ . Let  $\psi_k = J_{s_1, s_2}^\Lambda \varphi_k$ ,  $k = 1, 2, \dots$ . Since  $J_{s_1, s_2}^\Lambda$  maps  $S$  into  $S$ , it follows that  $\psi_k \in S$ ,  $k = 1, 2, \dots$

By the definition of  $X_{s_1, s_2}^\Lambda$ , we obtain that

$$\|\psi_k - u\|_{s_1, s_2, \Lambda} = \|J_{-s_1, -s_2}^\Lambda \psi_k - J_{-s_1, -s_2}^\Lambda u\|_X = \|\varphi_k - J_{-s_1, -s_2}^\Lambda u\|_X$$

for all  $k = 1, 2, \dots$ . Therefore,  $\psi_k \rightarrow u$  in  $X_{s_1, s_2}^\Lambda$  as  $k \rightarrow \infty$ . This proves that  $S$  is dense in  $X_{s_1, s_2}^\Lambda$ .

Thus, the proof is complete.  $\square$

**Remark 2.3.** j) From (i), (ii) and Proposition 2.1, we have that

$$S \subset X \subset X_{0,0}^\Lambda$$

and  $S$  is dense in  $X_{0,0}^\Lambda$ . Since  $S$  is dense in  $X$ , it implies that  $X = X_{0,0}^\Lambda$ .

jj) From Proposition 2.1 i), it follows that  $J_{t_1, t_2}^\Lambda$  is an operator of orders  $(-t_1, -t_2)$ .

Now we recall some namings, notations and well-known results concerning the theory of minimal and maximal operators (see [2], [5]-[7], [15], [16]).

Let  $X$  be a complex Banach space,  $S$  a dense subspace of  $X$  and let  $A$  be a linear operator from  $X$  into  $X$  with domain  $S$ . We denote by  $X'$  the space of all bounded linear functionals on  $X$  and by  $(x', x)$  the value of a functional  $x'$  in  $X'$  at an element  $x$  in  $X$ .

**Definition 2.3.** Let  $\mathcal{D}(A^t)$  be the set of all functionals  $y'$  in  $X'$  for which there is a functional  $x'$  in  $X'$  such that

$$(y', Ax) = (x', x), \quad x \in S. \quad (2.4)$$

We can prove that for any  $y'$  in  $X'$ , there exists at most one  $x'$  in  $X'$  for which (2.4) holds. Thus, we can define  $A^t y' = x'$ , for all  $y'$  in  $\mathcal{D}(A^t)$ . We call  $A^t$  the true adjoint of  $A$ .

We can prove easily that  $A^t$  is a closed linear operator from  $X'$  into  $X'$  with domain  $\mathcal{D}(A^t)$ .

Let us observe that if  $B$  is a linear extension of  $A$ , then  $A^t$  is a linear extension of  $B^t$ .

**Definition 2.4.** Let  $A$  be a linear operator from  $X$  into  $X$  with domain  $S$ . The operator  $A$  is closable if and only if

$$\varphi_k \in S, \varphi_k \rightarrow 0 \text{ in } X, A\varphi_k \rightarrow x \text{ in } X \Rightarrow x = 0.$$

In the following, we define the minimal operator of the operator  $A$ .

Suppose that  $A$  is a closable operator. We can construct a closed linear extension  $A_0$  of  $A$ .

**Definition 2.5.** Let  $\mathcal{D}(A_0)$  be the set of all  $x$  in  $X$  for which there exists a sequence  $\{\varphi_k\}_{k=1}^\infty$  in  $S$  such that  $\varphi_k \rightarrow x$  in  $X$ ,  $A\varphi_k \rightarrow y$  for some  $y$  in  $X$  as  $k \rightarrow \infty$ . We can define  $A_0 x = y$ , for any  $x \in \mathcal{D}(A_0)$ .

It can be proved that the definition of  $A_0$  does not depend on the particular choice of the sequence  $\{\varphi_k\}_{k=1}^\infty$  and it can also be proved that  $A_0$  is the smallest closed linear extension of  $A$  (i.e. if  $B$  is any closed linear extension of  $A$ , then  $B$  is also a linear extension of  $A_0$ ). We call  $A_0$  the minimal operator of  $A$ .

We further assume in this work that  $X$  is a reflexive complex Banach space.

In order to define the maximal operator, we need to introduce the notion of formal adjoint. We assume that the space  $X$  and its dual space  $X'$  can be continuously embedded in some topological space  $Y$ . Thus, the spaces  $X$  and  $X'$  will be identified as subspaces of  $Y$ . We also assume that there exists a subspace  $S$  of  $Y$  such that  $S$  is a dense subspace of  $X$  and  $X'$ .

For the following definitions and results, we let  $A$  be a linear operator from  $X$  into  $X$  with domain  $S$ .

**Definition 2.6.** The formal adjoint  $A^*$  of the operator  $A$ , if it exists, is defined to be the restriction of the true adjoint  $A^t$  to the space  $S$ .

From Definition 2.6, we observe that the formal adjoint  $A^*$  exists if and only if  $S$  is contained in the domain of  $A^t$ .

**Definition 2.7.** We define the linear operator  $A_1$  from  $X$  into  $X$  by  $A_1 = (A^*)^t$ .

Let  $\varphi \in S$ . By Definition 2.7, we have

$$(\varphi, A_1 x) = (A^* \varphi, x)$$

for all  $x$  in  $\mathcal{D}(A_1)$ .

By the definition of the true adjoint,  $\varphi \in \mathcal{D}(A_1^t)$  and  $A_1^t \varphi = A^* \varphi$ .

**Proposition 2.2.** ([16])  $A_1$  is a closed linear operator from  $X$  into  $X$  with domain  $\mathcal{D}(A_1)$  containing the space  $S$ .

**Proposition 2.3.** ([16]) The domain  $\mathcal{D}(A_1^t)$  of the adjoint of  $A_1$  contains the space  $S$ .

**Proposition 2.4.** ([16])  $A_1$  is a linear extension of  $A_0$ .

From Proposition 2.4 we see that  $(A_0)^t$  is a linear extension of  $(A_1)^t$  and by Proposition 2.3, the domain of  $(A_1)^t$  contains the space  $S$ . It follows that the domain of  $(A_0)^t$  contains the space  $S$ .

**Theorem 2.1.** ([16])  $A_1$  is the largest closed linear extension of  $A$  with the property that the space  $S$  is contained in the domain of its adjoint (i.e. if  $B$  is any closed linear extension of  $A$  such that  $S \subseteq \mathcal{D}(B^t)$ , then  $A_1$  is a linear extension of  $B$ ).

**Definition 2.8.** The operator  $A_1$  from Theorem 2.1 is called the maximal operator of  $A$ .

Let  $A$  be a linear operator from  $X$  into  $X$  with domain  $S$ . We suppose that  $A$  maps  $S$  into  $S$  and its formal adjoint  $A^*$  maps  $S$  into  $S$  continuously (i.e. if  $\{\varphi_k\}$  is any sequence in  $S$  such that  $\varphi_k \rightarrow 0$  in  $S$  as  $k \rightarrow \infty$ , then  $A\varphi_k \rightarrow 0$  and  $A^*\varphi_k \rightarrow 0$  in  $S$  as  $k \rightarrow \infty$ ).

The linear operator  $A$  can be extended to the space  $S'$ .

For any  $u$  in  $S'$ ,  $Au$  is an element in  $S'$  given by the relation

$$(Au, \varphi) = (u, A^* \varphi), \quad \varphi \in S. \quad (2.5)$$

It is easy to show that  $A : S' \rightarrow S'$  is a continuous linear mapping.

### 3. Some properties of minimal and maximal operators

In this section, we prove an analogue of the A-D-N inequality for pseudo-differential operators in the case of the operator  $A : S \subset X \rightarrow X$  with domain  $S$  that satisfies some hypotheses. Using this inequality, we will obtain the equality of minimal and maximal operators associated to the operator  $A$  when certain suitable hypotheses are satisfied. As an application, we study the existence and regularity of weak solutions of the linear equations  $Au = f$  on  $X$ .

**Theorem 3.1. (Agmon-Douglis-Nirenberg inequality [1])** Let  $A : S \subset X \rightarrow X$  be a linear operator such that  $A$  maps  $S$  into  $S$  and its formal adjoint  $A^*$  maps  $S$  into  $S$

continuously. Suppose that  $A$  is of positive order  $(m_1, m_2)$  and there exists a linear operator  $B$  of order  $(-m_1, -m_2)$  from  $X$  into  $X$  with domain  $S$  such that

$$BA = I + R, \quad (3.1)$$

where  $I$  is the identity operator, and  $R$  is an infinitely smoothing operator. Then, there exist two positive constants  $C_1$  and  $C_2$  such that

$$C_1 \|x\|_{m_1, m_2, \Lambda} \leq \|Ax\|_{0, 0, \Lambda} + \|x\|_{0, 0, \Lambda} \leq C_2 \|x\|_{m_1, m_2, \Lambda}, \quad x \in X_{m_1, m_2}^\Lambda. \quad (3.2)$$

*Proof.* First, we prove the right-hand side of inequality (3.2). Since  $A$  is of order  $(m_1, m_2)$ , by (2.2) and by boundedness of  $A$  it follows that there exist two positive constants  $K_1$  and  $K_2$  such that

$$\begin{aligned} \|Ax\|_{0, 0, \Lambda} &\leq K_1 \|x\|_{m_1, m_2, \Lambda} \text{ and} \\ \|x\|_{0, 0, \Lambda} &\leq K_2 \|x\|_{m_1, m_2, \Lambda}, x \in X_{m_1, m_2}^\Lambda. \end{aligned}$$

Hence, there exists a positive constant  $C_2 = \max(K_1, K_2)$  such that

$$\|Ax\|_{0, 0, \Lambda} + \|x\|_{0, 0, \Lambda} \leq C_2 \|x\|_{m_1, m_2, \Lambda}, x \in X_{m_1, m_2}^\Lambda.$$

Now, we have to prove the left-hand side of inequality (3.2).

By (3.1) it follows that

$$x = BAx - Rx, x \in X_{m_1, m_2}^\Lambda,$$

where  $B$  is an operator of order  $(-m_1, -m_2)$  and  $R$  is an infinitely smoothing operator. Hence,

$$\|x\|_{m_1, m_2, \Lambda} = \|BAx - Rx\|_{m_1, m_2, \Lambda} \leq \|BAx\|_{m_1, m_2, \Lambda} + \|Rx\|_{m_1, m_2, \Lambda}.$$

Since  $x \in X_{m_1, m_2}^\Lambda$  and  $A$  is of order  $(m_1, m_2)$ , it follows that  $Ax \in X_{0, 0}^\Lambda$ . The operator  $B : X_{0, 0}^\Lambda \rightarrow X_{m_1, m_2}^\Lambda$  is bounded. Therefore, there exists a positive constant  $K'_1$  such that

$$\|BAx\|_{m_1, m_2, \Lambda} \leq K'_1 \|Ax\|_{0, 0, \Lambda}, x \in X_{m_1, m_2}^\Lambda.$$

Since  $x \in X_{m_1, m_2}^\Lambda \subset X_{0, 0}^\Lambda$ , let  $x \in X_{0, 0}^\Lambda$ . The operator  $R$  is infinitely smoothing, so there exists a positive constant  $K'_2$  such that

$$\|Rx\|_{m_1, m_2, \Lambda} \leq K'_2 \|x\|_{0, 0, \Lambda}, x \in X_{m_1, m_2}^\Lambda.$$

Using the last two inequalities above, it follows that

$$\begin{aligned} \|x\|_{m_1, m_2, \Lambda} &\leq K'_1 \|Ax\|_{0, 0, \Lambda} + K'_2 \|x\|_{0, 0, \Lambda} \\ &\leq \max(K'_1, K'_2) (\|Ax\|_{0, 0, \Lambda} + \|x\|_{0, 0, \Lambda}), x \in X_{m_1, m_2}^\Lambda. \end{aligned}$$

So, taking  $C_1 = \frac{1}{\max(K'_1, K'_2)}$ , we get

$$C_1 \|x\|_{m_1, m_2, \Lambda} \leq \|Ax\|_{0, 0, \Lambda} + \|x\|_{0, 0, \Lambda}, x \in X_{m_1, m_2}^\Lambda.$$

Thus, the proof is complete.  $\square$

**Remark 3.1.** The estimate (3.2) can be seen as an analogue of the Agmon-Douglis-Nirenberg estimate for pseudo-differential operators in the case of an operator  $A$  from  $X$  into  $X$  with dense domain (see [1]). We must specify that some versions of this estimate can be found, for example, in [5], [7] and [8] for the class of pseudo-differential operators with global symbols introduced by Camperi in [4] or for a class of hybrid pseudo-differential operators introduced by Alimohammady and Kalleji in [2].

**Theorem 3.2.** Let  $A$  be as in Theorem 3.1. Then,  $\mathcal{D}(A_0) = X_{m_1, m_2}^\Lambda$ .

*Proof.* "  $\supseteq$  " Let  $x \in X_{m_1, m_2}^\Lambda$ . By Proposition 2.1,  $S$  is dense in  $X_{m_1, m_2}^\Lambda$ . Hence, there exists a sequence  $\{\varphi_k\}$  of elements in  $S$  such that  $\varphi_k \rightarrow x$  in  $X_{m_1, m_2}^\Lambda$  as  $k \rightarrow \infty$ . By the right-hand side of inequality (3.2),  $\{A\varphi_k\}$  and  $\{\varphi_k\}$  are Cauchy sequences in  $X$ . Therefore,  $\varphi_k \rightarrow x$  and  $A\varphi_k \rightarrow f$  in  $X$  for some  $x$  and  $f$  in  $X$  as  $k \rightarrow \infty$ . Thus, by the definition of  $A_0$ ,  $x \in \mathcal{D}(A_0)$  and  $A_0x = f$ .

"  $\subseteq$  " Let  $x \in \mathcal{D}(A_0)$ . By the definition of  $A_0$ , there exists a sequence  $\{\varphi_k\}$  of elements in  $S$  for which  $\varphi_k \rightarrow x$  in  $X$  and  $A\varphi_k \rightarrow f$  in  $X$  for some  $f$  in  $X$  as  $k \rightarrow \infty$ . Hence,  $\{\varphi_k\}$  and  $\{A\varphi_k\}$  are Cauchy sequences in  $X$ . Using (3.2),  $\{\varphi_k\}$  is a Cauchy sequence in  $X_{m_1, m_2}^\Lambda$ . Since  $X_{m_1, m_2}^\Lambda$  is complete, it follows that  $\varphi_k \rightarrow u$  in  $X_{m_1, m_2}^\Lambda$  for some  $u$  in  $X_{m_1, m_2}^\Lambda$  as  $k \rightarrow \infty$ . By (2.2),  $\varphi_k \rightarrow u$  in  $X$  as  $k \rightarrow \infty$ , so  $x = u$ . Thus,  $x \in X_{m_1, m_2}^\Lambda$ .

The proof of the Theorem 3.2 is complete.  $\square$

Now we came to the main result of this section.

**Theorem 3.3.** *Let  $A$  be as in Theorem 3.1. Then,  $A_0 = A_1$ .*

*Proof.* Since  $A_1$  is an extension of  $A_0$  and  $\mathcal{D}(A_0) = X_{m_1, m_2}^\Lambda$ , it remains to prove that  $\mathcal{D}(A_1) \subseteq X_{m_1, m_2}^\Lambda$ .

Let  $x \in \mathcal{D}(A_1)$ .

Using the hypotheses from Theorem 3.1, it follows that there exists an operator  $B$  of order  $(-m_1, -m_2)$  such that  $x = B Ax - Rx$ , where  $R$  is an infinitely smoothing operator.

Let  $u \in \mathcal{D}(A_1)$ . By Definition 2.7,

$$(\varphi, A_1 u) = (A^* \varphi, u), \quad \varphi \in S. \quad (3.3)$$

By (2.5), we have

$$(Au, \varphi) = (u, A^* \varphi), \quad \varphi \in S. \quad (3.4)$$

Using (2.3) and (3.4),

$$(\varphi, Au) = (A^* \varphi, u), \quad \varphi \in S. \quad (3.5)$$

Therefore, by (2.3), (3.3) and (3.5),

$$(A_1 u, \varphi) = (Au, \varphi), \quad \varphi \in S.$$

Hence,  $A_1 u = Au$  for all  $u \in \mathcal{D}(A_1)$ .

Since  $Ax = A_1 x \in X = X_{0,0}^\Lambda$ , we have that  $B Ax \in X_{m_1, m_2}^\Lambda$ . Since  $x \in X$  and  $R$  is an infinitely smoothing operator, we obtain that  $Rx \in X_{m_1, m_2}^\Lambda$ .

Thus,  $x \in X_{m_1, m_2}^\Lambda$ .

The proof of this theorem is complete.  $\square$

For more details concerning the minimal and maximal operators corresponding to different types of pseudo-differential operators, see, for example, [2], [5]-[8], [12].

Now, we give an application regarding the existence and regularity of weak solutions of the linear equations on the reflexive complex Banach space  $X$ .

**Definition 3.1.** Let  $f \in X$ . Then, an element  $u$  in  $X$  is called a weak solution of the linear equation  $Au = f$  if  $(A^* \varphi, u) = (\varphi, f)$ , for all  $\varphi \in S$ .

**Proposition 3.1.** Let  $A : S \subset X \rightarrow X$  be a linear operator and let  $f \in X$ . Then  $u \in X$  is a weak solution of the linear equation  $Au = f$  iff  $u \in \mathcal{D}(A_1)$  and  $A_1 u = f$ .

*Proof.* The "only if" part follows from the definitions of the maximal operator  $A_1$  and of the weak solutions. Indeed,  $u \in \mathcal{D}(A_1)$  and  $A_1 u = f$  implies that  $(\varphi, A_1 u) = (A^* \varphi, u)$ , for all  $\varphi \in S$  or equivalently  $(\varphi, f) = (A^* \varphi, u)$ , for all  $\varphi \in S$ . Therefore,  $u$  is a weak solution of the linear equation  $Au = f$ .

The "if" part follows from the definition of the weak solutions. Let  $u \in X$  be a weak solution of the linear equation. Then,  $(A^* \varphi, u) = (\varphi, Au)$ , for all  $\varphi \in S$ . From the definition of the maximal operator  $A_1$ , we obtain that  $(\varphi, A_1 u) = (A^* \varphi, u)$ , for all  $\varphi \in S$ . Hence,  $u \in \mathcal{D}(A_1)$  and  $A_1 u = f$ .  $\square$

Now, we can state and prove the following theorem.

**Theorem 3.4.** *Let  $A : \mathcal{D}(A) \subset X \rightarrow X$  be a linear operator as in Theorem 3.1 and let  $f \in X$ . Then, every weak solution  $u$  of the linear equation  $Au = f$  is in  $X_{m_1, m_2}^\Lambda$ .*

*Proof.* Let  $u$  be a weak solution of  $Au = f$ .

Using Proposition 3.1, we obtain that  $u \in \mathcal{D}(A_1)$ . By Theorem 3.3,  $A_1 = A_0$ . Hence,  $u \in \mathcal{D}(A_0)$ . By Theorem 3.2,  $\mathcal{D}(A_0) = X_{m_1, m_2}^\Lambda$ .

Therefore,  $u \in X_{m_1, m_2}^\Lambda$ .

The proof of the theorem is complete.  $\square$

**Remark 3.2.** The previous theorem represents a regularity result because it tells us that every weak solution  $u$  of the linear equation  $Au = f$  belongs to a more regular space  $X_{m_1, m_2}^\Lambda$  in the sense that  $X_{m_1, m_2}^\Lambda \subset X_{0,0}^\Lambda = X$  by (2.2).

#### 4. Two perturbation results

In this section, we give a perturbation result concerning the A-D-N inequality and another result regarding the strongly continuous semigroup of contractions.

First, we assume that for  $0 < s_1 < t_1$  and for every positive number  $\varepsilon > 0$ , there exists a positive constant  $C_\varepsilon$  such that

$$\|u\|_{s_1, 0, \Lambda} \leq \varepsilon \|u\|_{t_1, 0, \Lambda} + C_\varepsilon \|u\|_{0, 0, \Lambda}, \quad u \in X_{t_1, 0}^\Lambda. \quad (4.1)$$

Let us observe that when we take  $s = (s_1, s_2)$ ,  $t = (t_1, t_2)$  in  $\mathbb{R}^2$  such that  $0 < s_1 < t_1, s_2 \leq 0 < t_2$ , we have the estimate

$$\|u\|_{s_1, s_2, \Lambda} \leq \varepsilon \|u\|_{t_1, t_2, \Lambda} + C_\varepsilon \|u\|_{0, 0, \Lambda}, \quad u \in X_{t_1, t_2}^\Lambda, \quad (4.2)$$

using (2.2) and (4.1).

**Remark 4.1.** The inequality (4.1) is an abstract version of the Erhling inequality related to the pseudo-differential operators on  $L^p(\mathbb{R}^n)$  spaces (see [17]) and the inequality (4.2) is an almost analogue, in our abstract setting, of this inequality.

**Theorem 4.1.** *Let  $A$  be an operator as in Theorem 3.1 and let  $V : \mathcal{D}(V) \subset X \rightarrow X$  with  $S \subset \mathcal{D}(V)$  be a closed operator such that there exists a positive constant  $C$  for which*

$$\|V\varphi\|_{0, 0, \Lambda} \leq C \|\varphi\|_{s_1, s_2, \Lambda}, \quad \varphi \in S, \quad (4.3)$$

where  $0 < s_1 < m_1, s_2 \leq 0 < m_2$ . Then, there exist positive constants  $\tilde{C}_1$  and  $\tilde{C}_2$  such that

$$\tilde{C}_1 \|\varphi\|_{m_1, m_2, \Lambda} \leq \|(A + V)\varphi\|_{0, 0, \Lambda} + \|\varphi\|_{0, 0, \Lambda} \leq \tilde{C}_2 \|\varphi\|_{m_1, m_2, \Lambda}, \quad \varphi \in S. \quad (4.4)$$

*Proof.* Let  $\varphi \in S$ . By (4.3) and the right-hand side of the inequality (3.2), we get

$$\begin{aligned} \|(A + V)\varphi\|_{0, 0, \Lambda} + \|\varphi\|_{0, 0, \Lambda} &\leq \|A\varphi\|_{0, 0, \Lambda} + \|V\varphi\|_{0, 0, \Lambda} + \|\varphi\|_{0, 0, \Lambda} \\ &\leq \|A\varphi\|_{0, 0, \Lambda} + C \|\varphi\|_{s_1, s_2, \Lambda} + \|\varphi\|_{0, 0, \Lambda} \\ &\leq (C + C_2) \|\varphi\|_{m_1, m_2, \Lambda} = \tilde{C}_2 \|\varphi\|_{m_1, m_2, \Lambda}, \quad \varphi \in S. \end{aligned}$$

By (4.3) and (4.2), for every positive number  $\varepsilon$ , there exists a positive constant  $C_\varepsilon$  such that

$$\begin{aligned} \|(A + V)\varphi\|_{0, 0, \Lambda} &\geq \|A\varphi\|_{0, 0, \Lambda} - \|V\varphi\|_{0, 0, \Lambda} \geq \|A\varphi\|_{0, 0, \Lambda} - C \|\varphi\|_{s_1, s_2, \Lambda} \\ &\geq \|A\varphi\|_{0, 0, \Lambda} - \varepsilon \|\varphi\|_{m_1, m_2, \Lambda} - C_\varepsilon \|\varphi\|_{0, 0, \Lambda}, \quad \varphi \in S. \end{aligned}$$

By the left-hand side of the inequality (3.2), we get

$$\|(A + V)\varphi\|_{0,0,\Lambda} \geq (C_1 - \varepsilon) \|\varphi\|_{m_1,m_2,\Lambda} - (C_\varepsilon + 1) \|\varphi\|_{0,0,\Lambda}, \quad \varphi \in S.$$

Choosing  $\varepsilon < C_1$ , we obtain

$$\begin{aligned} \|\varphi\|_{0,0,\Lambda} + \|(A + V)\varphi\|_{0,0,\Lambda} &\geq \|\varphi\|_{0,0,\Lambda} + \frac{1}{C_\varepsilon + 1} \|(A + V)\varphi\|_{0,0,\Lambda} \\ &\geq \frac{C_1 - \varepsilon}{C_\varepsilon + 1} \|\varphi\|_{m_1,m_2,\Lambda} = \tilde{C}_1 \|\varphi\|_{m_1,m_2,\Lambda}, \quad \varphi \in S. \end{aligned}$$

The proof is complete.  $\square$

Now, we can state and prove the main result of this section.

**Theorem 4.2.** *Let  $A$  be an operator as in Theorem 3.1 and let  $V : \mathcal{D}(V) \subset X \rightarrow X$  with  $S \subset \mathcal{D}(V)$  be a closed operator that satisfies the estimate*

$$\|V\varphi\|_{0,0,\Lambda} \leq C \|\varphi\|_{s_1,s_2,\Lambda}, \quad \varphi \in S, \quad (4.5)$$

where  $0 < s_1 < m_1, s_2 \leq 0 < m_2$ . Then, there exist positive constants  $C_1, C_2$  such that

$$C_1 \|u\|_{m_1,m_2,\Lambda} \leq \|(A_0 + V)u\|_{0,0,\Lambda} + \|u\|_{0,0,\Lambda} \leq C_2 \|u\|_{m_1,m_2,\Lambda}, \quad u \in X_{m_1,m_2}^\Lambda. \quad (4.6)$$

*Proof.* Let  $u \in X_{m_1,m_2}^\Lambda$ . There exists a sequence  $\{\varphi_j\}_{j=1}^\infty$  of functions in  $S$  such that  $\varphi_j \rightarrow u$  in  $X_{m_1,m_2}^\Lambda$  as  $j \rightarrow \infty$ .

By the right-hand side of the inequality (3.2), we obtain that

$$A\varphi_j \rightarrow A_0 u \in X \quad \text{as } j \rightarrow \infty.$$

By (4.5),

$$\|V\varphi_j - V\varphi_k\|_{0,0,\Lambda} \leq C \|\varphi_j - \varphi_k\|_{s_1,s_2,\Lambda} \leq C \|\varphi_j - \varphi_k\|_{m_1,m_2,\Lambda} \rightarrow 0 \quad \text{as } j, k \rightarrow \infty.$$

Thus,  $V\varphi_j \rightarrow v$  for some  $v$  in  $X$  as  $j \rightarrow \infty$ . Since  $V : \mathcal{D}(V) \rightarrow X$  is closed,  $u \in \mathcal{D}(V)$  and  $Vu = v$ .

By Theorem 4.1,

$$\tilde{C}_1 \|\varphi_j\|_{m_1,m_2,\Lambda} \leq \|(A_0 + V)\varphi_j\|_{0,0,\Lambda} + \|\varphi_j\|_{0,0,\Lambda} \leq \tilde{C}_2 \|\varphi_j\|_{m_1,m_2,\Lambda},$$

for  $j = 1, 2, \dots$

Now, if we let  $j \rightarrow \infty$ , then the proof of the theorem is complete.  $\square$

In order to give an application to strongly continuous semigroups of contractions generated by the operator  $A$ , we need to recall the following result (see Corollary 3.8 in [9] or Corollary 3.3 in [14]).

**Theorem 4.3.** *Let  $X$  be a complex Banach space and let  $\|\cdot\|$  be its norm. Let  $A$  be the infinitesimal generator of one-parameter strongly continuous semigroup of contractions on the complex Banach space  $X$ . Let  $B$  be a dissipative operator which satisfies  $\mathcal{D}(A) \subset \mathcal{D}(B)$  and  $\|Bx\| \leq a\|Ax\| + C\|x\|$  for  $x \in \mathcal{D}(A)$ , where  $0 < a < 1$  and  $C \geq 0$ .*

*Then  $A + B$  is the infinitesimal generator of a one-parameter strongly continuous semigroup of contractions on  $X$ .*

Now, we can state and prove the following theorem.

**Theorem 4.4.** *Let  $A$  be an operator as in Theorem 3.1 such that  $A$  is the infinitesimal generator of a strongly continuous semigroup of contractions on  $X$ .*

*Let  $V : \mathcal{D}(V) \subset X \rightarrow X$  be a maximally dissipative operator with  $S \subset \mathcal{D}(V)$  such that*

$$\|V\varphi\|_{0,0,\Lambda} \leq C\|\varphi\|_{s_1,s_2,\Lambda}, \varphi \in S,$$

*where  $0 < s_1 < m_1$ ,  $s_2 \leq 0 < m_2$  and  $C$  is a positive constant.*

*Then  $A_0 + V$  is the infinitesimal generator of an one-parameter strongly continuous semigroup of contractions on  $X$ .*

*Proof.* Let  $\varepsilon > 0$  such that  $\varepsilon C < 1$ .

By (2.2), the abstract case of the Erhling inequality (4.2) and the left-hand side of the Agmon-Douglis-Nirenberg estimate (3.2), we can get a positive constant  $C_\varepsilon$  such that

$$\begin{aligned} \|V\varphi\|_{0,0,\Lambda} &\leq C\varepsilon\|\varphi\|_{m_1,m_2,\Lambda} + CC_\varepsilon\|\varphi\|_{0,0,\Lambda} \leq \\ &C\varepsilon\left(\|A\varphi\|_{0,0,\Lambda} + \|\varphi\|_{0,0,\Lambda}\right) + CC_\varepsilon\|\varphi\|_{0,0,\Lambda} \\ &= C\varepsilon\|A\varphi\|_{0,0,\Lambda} + (C\varepsilon + CC_\varepsilon)\|\varphi\|_{0,0,\Lambda}, \varphi \in S. \end{aligned} \tag{4.7}$$

Let  $u \in X_{m_1,m_2}^\Lambda$  and let  $\{\varphi_k\}_k$  be a sequence in  $S$  such that

$$\varphi_k \rightarrow u$$

in  $X_{m_1,m_2}^\Lambda$  as  $k \rightarrow \infty$ .

Using (4.7), we have

$$\|V\varphi_k\|_{0,0,\Lambda} \leq C\varepsilon\|A\varphi_k\|_{0,0,\Lambda} + (C\varepsilon + CC_\varepsilon)\|\varphi_k\|_{0,0,\Lambda},$$

for  $k = 1, 2, \dots$

Since  $V$  is maximally dissipative, if we let  $k \rightarrow \infty$ , we get

$$\|Vu\|_{0,0,\Lambda} \leq C\varepsilon\|A_0u\|_{0,0,\Lambda} + (C\varepsilon + CC_\varepsilon)\|u\|_{0,0,\Lambda}$$

for  $u \in X_{m_1,m_2}^\Lambda \subset \mathcal{D}(V)$  (because by (iv)  $X_{m_1,m_2}^\Lambda \subset X_{s_1,s_2}^\Lambda \subset X_{0,0}^\Lambda = X$ ).

Now, using Theorem 4.3 the proof is complete.  $\square$

## 5. Fredholmness of minimal operator $A_0$

In this section, we prove that the minimal operator  $A_0$  of the operator  $A$  is Fredholm when suitable hypotheses are satisfied.

Let us recall that a closed linear operator  $A : X \rightarrow X$  from a complex Banach space  $X$  into a complex Banach space  $Y$  with dense domain  $D(A)$  is said to be Fredholm if

- i)  $R(A)$  is a closed subspace of  $Y$ ;
- ii)  $N(A)$  and  $N(A^t)$  are finite dimensional,

where  $R(A)$  is the range of  $A$ ,  $N(A)$  is the null space of  $A$  and  $N(A^t)$  is the null space of the adjoint  $A^t$ .

Now, we recall a result in which we find the necessary and sufficient conditions for an operator to be Fredholm.

**Theorem 5.1.** (see [3]) *Suppose that  $A$  is a closed linear operator from a complex Banach space  $X$  into a complex Banach space  $Y$  with dense domain  $D(A)$ . Then,  $A$  is Fredholm if and only if one can find a closed linear operator  $B : Y \rightarrow X$ , compact operators  $K_1 : X \rightarrow X$  and  $K_2 : Y \rightarrow Y$  such that  $BA = I + K_1$  on  $D(A)$  and  $AB = I + K_2$  on  $Y$ .*

In order to prove the main result of this section, we need the following theorem.

**Theorem 5.2.** *Let  $s_1, s_2, t_1, t_2 \in \mathbb{R}$  such that  $s_1 < t_1$  and  $s_2 < t_2$ . Then, the inclusion  $i : X_{t_1,t_2}^\Lambda \hookrightarrow X_{s_1,s_2}^\Lambda$  is a compact operator.*

*Proof.* Since  $t_1 - s_1 > 0$ ,  $t_2 - s_2 > 0$  by the hypothesis, then, by a corollary of the Archimedean property, there exists a positive number  $\varepsilon$  such that  $0 < \varepsilon < t_1 - s_1$ ,  $0 < \varepsilon < t_2 - s_2$ .

Let us consider the following mappings

$$(J_{\varepsilon, \varepsilon}^{\Lambda})^{-1} J_{-s_1, -s_2}^{\Lambda} : X_{t_1, t_2}^{\Lambda} \rightarrow X_{t_1 - s_1 - \varepsilon, t_2 - s_2 - \varepsilon}^{\Lambda},$$

$$i : X_{t_1 - s_1 - \varepsilon, t_2 - s_2 - \varepsilon}^{\Lambda} \hookrightarrow X_{0,0}^{\Lambda} \text{ and } J_{\varepsilon, \varepsilon}^{\Lambda} : X_{0,0}^{\Lambda} \rightarrow X_{t_1, t_2}^{\Lambda}.$$

By the composition of these three mappings, we get

$$J_{\varepsilon, \varepsilon}^{\Lambda} i (J_{\varepsilon, \varepsilon}^{\Lambda})^{-1} J_{-s_1, -s_2}^{\Lambda} : X_{t_1, t_2}^{\Lambda} \rightarrow X_{0,0}^{\Lambda}.$$

But  $(J_{\varepsilon, \varepsilon}^{\Lambda})^{-1} J_{-s_1, -s_2}^{\Lambda}$  and  $i$  are bounded linear operators, by Proposition 2.1 and the property (iv) in the definition of the two-parameter abstract framework in Section 2, and  $J_{\varepsilon, \varepsilon}^{\Lambda}$  is a compact operator by property (i) in the definition of the same two-parameter abstract framework.

Therefore,  $J_{\varepsilon, \varepsilon}^{\Lambda} i (J_{\varepsilon, \varepsilon}^{\Lambda})^{-1} J_{-s_1, -s_2}^{\Lambda} : X_{t_1, t_2}^{\Lambda} \rightarrow X_{0,0}^{\Lambda}$  is a compact operator.

Let us remark that for  $u$  in  $X_{t_1, t_2}^{\Lambda}$ , it follows that  $J_{\varepsilon, \varepsilon}^{\Lambda} i (J_{\varepsilon, \varepsilon}^{\Lambda})^{-1} J_{-s_1, -s_2}^{\Lambda} u = J_{-s_1, -s_2}^{\Lambda} u \in X_{0,0}^{\Lambda}$ . Hence,  $u \in X_{s_1, s_2}^{\Lambda}$  and the inclusion  $i : X_{t_1, t_2}^{\Lambda} \hookrightarrow X_{s_1, s_2}^{\Lambda}$  is a compact operator.  $\square$

The main result in this section reads as follows.

**Theorem 5.3.** *Let  $A : S \subset X \rightarrow X$  be an operator as in Theorem 3.1 such that it satisfies the equality  $AB = I + L$ , where  $B$  is the operator in Theorem 3.1 and  $L$  is an infinitely smoothing operator. Then, the bounded linear operator  $A_0 : X_{m_1, m_2}^{\Lambda} \subset X \rightarrow X$  is Fredholm.*

*Proof.* Since  $A$  satisfies the hypothesis of Theorem 3.1, there exists a linear operator  $B$  of order  $(-m_1, -m_2)$  from  $X$  to  $X$  with domain  $S$  such that  $BA = I + R$  and  $AB = I + L$ , where  $I$  is the identity operator and  $R, L$  are infinitely smoothing operators.

For all positive numbers  $t_1, t_2$ , the linear operator  $R : X \rightarrow X_{t_1, t_2}^{\Lambda}$  is bounded by the definition of the smoothing operator and  $i : X_{t_1, t_2}^{\Lambda} \hookrightarrow X$  is compact by Theorem 5.2. Thus,  $R : X \rightarrow X$  is a compact operator.

Similarly,  $L : X \rightarrow X$  is also a compact operator.

By Theorem 5.1, we obtain that  $A_0 : X_{m_1, m_2}^{\Lambda} \subset X \rightarrow X$  is Fredholm.

Thus, the proof is complete.  $\square$

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