

## SOME APPLICATIONS OF THE MAXIMAL PRIMARY COMPONENTS OF SUBMODULES

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*W. Heinzer, L.J. Ratliff Jr. And K. Shah have found in [3] and [4] a close connection between ideal covers and the maximal embedded components of a non-open ideal in a local Noetherian ring.*

*The aim of the paper is to obtain the analogous results concerning submodule covers for a submodule of a strong Laskerian module over a local ring (not necessarily Noetherian), which has a strongly primary component.*

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### 1. Introduction

In [7] L.J. Ratliff Jr. And D.E. Rush have introduced the notion of ideal covers in a local Noetherian ring. After introducing and studying in [2] the concept of a maximal embedded component of a non-open ideal in a local Noetherian ring, W. Heinzer, L.J. Ratliff Jr. and K. Shah have found in [3] and [4] a close connection between ideal covers and maximal embedded components..

In [5] the author have introduced the analogue for maximal embedded components of submodules and has obtained generalizations of the results of [2] and [3] for submodules of a strong Laskerian module over a quasi-local ring.

The purpose of this paper is to obtain the analogous results of [3] and [4] concerning covers of ideals for a submodule of a module over a local ring (not necessarily Noetherian) that admits a strongly primary component.

The notation and the terminology are mainly as in [1].

Throughout the paper we denote by  $R$  a commutative ring with identity and by  $E$  an  $R$ -module. By  $\text{Ass}(E/F)$  we denote the set of all associated prime divisors of the submodule  $F$  (in the weak Bourbaki sense), i.e.  $P \in \text{Ass}(E/F) \Leftrightarrow P$  is a minimal prime divisor of  $F:xR$  for some  $x \in E \setminus F$ .

In Section [2] we introduce the notion of a strongly primary component and give the basic results we need later. In Section [3] the main results are (3.3) and (3.4), which describe and give the number of covers of a strongly primary component of a submodule as well as the relationship between the submodule covers and their

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strongly primary components. Two characterizations of a maximal strongly primary component are given in (3.8).

## 2. Strongly Primary Components

*Definition 2.1.* Let  $P$  be a prime ideal of  $R$  and  $G$  a  $P$ -primary submodule of  $E$ .

$G$  is a  $P$ -primary component of the submodule  $F$  of  $E$  if  $P \in \text{Ass}(E/F)$  and if there exists a submodule  $V$  of  $E$  such that  $P \notin \text{Ass}(E/V)$  and  $F = G \cap V$ .

If, in addition,  $G$  is of finite exponent (i.e. there exists  $n \in \mathbb{N}$  such that  $P^n E \subseteq G$ ) then  $G$  is called a *strongly  $P$ -primary component* of  $F$ .

*Remark 2.2.* If  $F$  is a  $P$ -primary submodule of  $E$ , then  $F$  is a  $P$ -primary component of itself, since  $F = F \cap E$  and  $P \notin \text{Ass}(E/E) = \text{Ass}(0) = \emptyset$ .

Moreover, each  $P$ -primary component of  $F$  coincides with  $F$ .

Indeed, let  $G$  be a  $P$ -primary component of  $F$ . Then  $F = G \cap V$  and  $P \notin \text{Ass}(E/V)$ .

If  $G \subseteq V$  then it holds that  $G=F$ .

If  $G \not\subseteq V$  then there exists  $x \in G$  and  $x \notin V$ , so  $x \notin F$ . Then  $V : xR = F : xR \subseteq P$  since  $F$  is  $P$ -primary. So  $P \in \text{Ass}(E/V)$ , a contradiction.

Therefore it holds that if  $F$  is a  $P$ -primary submodule of  $E$ , then the unique  $P$ -primary component of it is  $F$ .

*Definition 2.3.* Let  $P$  be a prime ideal in  $R$  and  $F$  a submodule of  $E$ , which has a strongly  $P$ -primary component.

A *maximal strongly  $P$ -primary component* of  $F$  is a strongly  $P$ -primary component of  $F$ , that is not properly contained in any other strongly  $P$ -primary component of  $F$ .

*Remark 2.4.* Each strongly  $P$ -primary component of  $F$  is contained in a maximal strongly  $P$ -primary component.

Indeed, let  $F = Q \cap V$ , where  $Q$  is a strongly  $P$ -primary component of  $F$  and  $P \notin \text{Ass}(E/V)$ . Let  $\mathfrak{A} = \{G ; G \text{ is a strongly } P\text{-primary component of } F\}$ . Since  $\mathfrak{A}$  is not empty ( $Q \in \mathfrak{A}$ ) and  $\mathfrak{A}$  is inductive, by Zorn's lemma  $\mathfrak{A}$  has a maximal element, that is a maximal strongly  $P$ -primary component of  $F$ .

*Remark 2.5.* Let us remark the fact, that this maximal strongly  $P$ -primary component depends on  $V$ .

Thus, in  $\mathbb{Q}[X, Y]$ ,  $(X)$  is a maximal strongly  $(X)$  - primary component of the ideal  $(X^2, XY)$  and  $(X^2, XY) = (X) \cap (X^2, XY, Y^n)$  for each  $n \in \mathbb{N}, n \geq 2$ .

Therefore  $V = (X^2, XY, Y^n)$  is not unique.

There are situations in which  $V$  is unique.

LEMMA 2.6. *If  $F$  is a submodule of  $E$  which has a strongly  $P$ -primary component  $Q$ ,  $F = Q \cap V$ , and  $P$  is maximal in  $\text{Ass}(E/F)$ , then  $V$  is unique.*

*Proof.* Let  $n \in \mathbb{N}$  be such that  $P^n E \subseteq Q$ . Then  $V = F : P^n$ .

### 3. Strongly Primary Components and Submodule Covers

Throughout this section we denote by  $(R, M)$  a local ring (not necessarily Noetherian) and by  $E$  an  $R$ -module.

Definition 3.1. If  $G \subseteq H$  are submodules of  $E$ , then  $H$  is a *cover* of  $G$  if there exists

$x \in H \setminus G$  such that  $H = G + xR$  and  $Mx \subset G$ .

Remark 3.2. A submodule is *sheltered* if and only if it admits exactly one cover.

Indeed, it is clear, that if a submodule has only one cover then it is sheltered.

For the converse, let  $G \subset E$  be a sheltered submodule of  $E$ . Then there exists  $x \in E$ ,  $x \notin G$  such that each submodule of  $E$ , which strictly contains  $G$ , contains also  $G + xR$ . Therefore  $G + xR/G$  is a simple submodule  $G + xR/G \cong R/M$  and  $Mx \subset G$ . So  $G + xR$  is the unique cover of  $G$ .

From now on we consider  $F$  a submodule of  $E$ , that admits a strongly  $M$ -primary embedded component and in addition we assume that  $V = F : M^n$  (from Lemma (2.6)) for all large  $n \in \mathbb{N}$  has an irredundant primary decomposition.

The following proposition indicates us a way to construct two larger  $M$ -primary components of a given  $M$ -primary component of  $F$ , which are not maximal strongly  $M$ -primary components of  $F$ .

PROPOSITION 3.3. *Let  $Q$  be a strongly  $M$ -primary component of  $F$ , which is not maximal,  $F = Q \cap V$  and  $M \notin \text{Ass}(E/V)$ . Then there exists  $v \in V \setminus F$  such that  $F + vR$  is a cover of  $F$  and*

$$v \notin \bigcup \{Q' : Q' \text{ is a strongly } M\text{-primary component of } F\}$$

*and there exists  $x \in E$  such that :*

(3.3.1)  $Q_1 = Q + xR$  and  $Q_2 = Q + (x + v)R$  are strongly  $M$ -primary components of  $F$ .

(3.3.2)  $Q_1$  and  $Q_2$  are covers of  $Q$ .

(3.3.3) There are no containment relations between  $Q_1$  and  $Q_2$ .

(3.3.4)  $Q_1 \cap Q_2 = Q$ .

*Proof.* Since  $M \in \text{Ass}(E/F)$  it follows that  $F \subset F : M$ . Let  $v \in (F : M) \setminus F$ . Then  $vM \subset F \subset V$ . Since  $M \not\subset P$  for each  $P \in \text{Ass}(E/V)$ , it holds that  $v \in V$ . Therefore  $v \in V \setminus F$  and  $F + vR$  is a cover of  $F$ .

$v \notin \bigcup \{Q' ; Q' \text{ is a strongly } M\text{-primary component of } F\}$  because otherwise there exists  $Q''$  a strongly  $M$ -primary component of  $F$  such that  $v \in Q''$ . Hence  $v \in Q'' \cap V = F$ , which contradicts the choice of  $v$ . Since  $Q$  is not a maximal strongly  $M$ -primary component of  $F$ , there exists  $Q' \supset Q$  a strongly  $M$ -primary component of  $F$ . We claim that then there exists  $x \in Q' \setminus Q$  such that  $Mx \subset Q$ . Indeed, let  $y \in Q' \setminus Q$ . Since  $Q$  is strongly  $M$ -primary, there exists  $n \in \mathbb{N}$  such that  $M^n y \subseteq Q$  and  $M^{n-1} y \not\subseteq Q$ . Therefore it exists  $a \in M^{n-1}$  such that  $ay \notin Q$ . Considering  $x := ay$  we get  $x \in Q' \setminus Q$  and  $Mx \subset Q$ , therefore  $Q_1 = Q + xR$  is a cover of  $Q$ .

Since  $F = Q \cap V \subseteq Q_1 \cap V \subseteq Q' \cap V = F$  it follows that  $Q_1$  is a strongly  $M$ -primary component of  $F$ .

To complete the proof of (3.3.1) we must show that  $Q_2 \cap V = F$ , where  $Q_2 = Q + (x+v)R$ .

For this, one containment is clear:  $Q_2 \cap V \supseteq F$ . To prove the opposite let  $y \in Q_2 \cap V$ . Then there exist  $q \in Q$  and  $d \in R$  such that  $y = q + d(x+v) \in V$ . Therefore  $q + dx \in V$ , so  $q + dx \in V \cap Q_1 = F$ . If  $d \in M$ , then  $dv \in F$ , so  $y \in F$ , as desired. If  $d \notin M$ ; then  $d$  is a unit in  $R$ . Since  $q + dx \in Q$  we get  $dx \in Q$ , and because  $d$  is a unit it follows that  $x \in Q$ , contradiction. Therefore  $d \in M$ , hence  $Q_2 \cap V = F$ , so  $Q_2$  is a strongly  $M$ -primary component of  $F$ .

To prove (3.3.2) note first that in (3.3.1) we have shown that  $Q_1$  is a cover of  $Q$ .

Let us prove now the statement for  $Q_2$ .

Since  $vM \subseteq F$  and  $xM \subseteq Q$ , it follows that  $(x+v)M \subseteq Q + F = Q$ . Hence it remains to show that  $x+v \notin Q$ . Suppose the opposite, if  $x+v \in Q \subset Q_1$ , then, since  $x \in Q_1$  we get that  $v \in Q_1$ , and this is a contradiction with the choice of  $v$ . So the proof of (3.3.2) is complete.

To prove (3.3.3) observe that if  $Q_2 \subseteq Q_1$  then  $x+v \in Q_1$ , so it follows that  $v \in Q_1$ , which does not hold. If  $Q_1 \subseteq Q_2$  then  $x - r(x+v) \in Q$ , with  $r \in R$ .

If  $r \in M$  then  $x(1-r) \in Q + vM \subseteq Q + F = Q$  and so  $x \in Q$ , which contradicts the choice of  $x$ .

If  $r \notin M$  it follows that  $x+v \in Q_1$ , so  $v \in Q_1$ , and this contradicts (3.3.1). Hence (3.3.3) holds.

For (3.3.4) observe that since  $Q_1$  and  $Q_2$  are distinct covers of  $Q$ , from the definition of a cover it follows that  $Q = Q_1 \cap Q_2$ .  $\square$

PROPOSITION 3.4. *Let  $Q \subset Q'$  be two strongly  $M$ -primary components of  $F$ ,  $F = Q \cap V = Q' \cap V$ , where  $V = F : M^n$ ,  $n \in \mathbb{N}$ , let  $x \in Q' \setminus Q$  and let  $v$  be as in (3.3). Then:*

(3.4.1)  $Q + xR$  and  $Q + (x + kv)R$  are strongly  $M$ -primary components of  $F$  for all units  $k$  in  $R$ .

(3.4.2) If  $Q + xR$  is a cover of  $Q$ , then for each unit  $k$  in  $R$ ,  $Q + (x + kv)R$  is a cover of  $Q$ , and there are  $\text{card}(R/M)$  such submodules.

*Proof.* For (3.4.1), since  $Q \subset Q + xR \subseteq Q'$  it follows that  $Q + xR$  is a strongly  $M$ -primary component of  $F$ . Then it follows, as shown in the proof of (3.3.1), that  $Q + (x + v)R$  and  $Q + (x + kv)R$  are strongly  $M$ -primary components of  $F$  for all units  $k$  in  $R$ .

For (3.4.2), the proof that each  $Q + (x + kv)R$  is a cover of  $Q$  is the same as in (3.3.2). So, to complete the proof of (3.4.2), it suffices to show that if  $k$  and  $k'$  are units in  $R$  such that  $k + M \neq k' + M$ , then  $Q + (x + kv)R \not\subset Q + (x + k'v)R$ .

For this, if  $x + kv \in Q + (x + k'v)R$  then  $x + kv = q + r(x + k'v)$ , with  $q \in Q$  and  $r \in R$ , so

$$(k - k')v = q + (r - 1)(x + k'v) \in Q + (x + k'v)R.$$

Since  $k - k'$  is a unit in  $R$ , it follows that  $v \in Q + (x + k'v)R$ , which contradicts the choice of  $v$ , since by (3.3.1)  $Q + (x + k'v)R$  is a strongly  $M$ -primary component of  $F$ .  $\square$

There is a very close connection between covers of submodules and strongly  $M$ -primary components of submodules. To be more specific, note first that in (3.3) it is shown the existence of  $v \in V \setminus F$  such that  $F + vR$  is a cover of  $F$ . Hence the submodules  $F$  of  $E$ , which have a strongly  $M$ -primary component  $Q$ ,  $F = Q \cap V$ ,  $M \notin \text{Ass}(E/V)$  and  $V$  admits an irredundant primary decomposition, have covers.

On the other hand, if  $G$  is a submodule of  $E$ , which is not strongly  $M$ -primary but which admits a finite irredundant primary decomposition, then  $G$  has the properties of  $F$ , i.e.  $G$  has a strongly  $M$ -primary component  $Q'$ ,  $G = Q' \cap V'$  and  $M \notin \text{Ass}(E/V')$ .

To see this, let  $L$  be a cover of  $G$ ,  $L = G + xR$  and  $xM \subset G$ . Then  $ML \subseteq G \subset L$ , so  $GR_p = LR_p$  for each  $P \in \text{Spec}(R) \setminus \{M\}$ . Therefore, from  $G \subset L$  it follows that  $G$  has a strongly  $M$ -primary component. Consider now

$\mathfrak{M} = \{H \subset E \text{ submodule ; } H \supset F \text{ and } H \text{ is a strongly } M\text{-primary component of } F\}$

By (2.6) it follows that for each  $H \in \mathfrak{M}$  we have  $F = H \cap V$ , where  $V = F : M^n$ ,  $n \in \mathbb{N}$ .

The next result shows that if  $G \supset F$  is a submodule of  $E$ , then  $G \in \mathfrak{M}$  if and only if there exists a one-to-one correspondence between the covers of  $F$  and the covers of  $G$  that are not in  $\mathfrak{M}$ .

**THEOREM 3.5.** *Let  $G \subset E$  be a submodule such that  $G \supset F$ . Then  $G \in \mathfrak{M}$  if and only if there exists a one-to-one correspondence between the covers  $F + wR$  of  $F$  and the covers  $G + xR$  of  $G$  such that  $G + xR \notin \mathfrak{M}$ .*

*This correspondence is given by  $F + wR$  corresponds to  $G + wR$  and  $G + xR$  corresponds to  $F + wR$ , where  $w = g + x \in (F : M) \setminus F$ , for some  $g \in G$ .*

*Proof.* Assume first that  $G \in \mathfrak{M}$  and let  $F + wR$  be a cover of  $F$ . Then  $w \in (F : M) \setminus F \subseteq V \setminus F$ , so  $w \in (G + wR) \cap V$ , hence  $G + wR \notin \mathfrak{M}$ . Since  $G \in \mathfrak{M}$  it follows that  $w \notin G$ . Further  $wM \subseteq F \subseteq G$ , therefore  $G + wR$  is a cover of  $G$ .

Consider now  $G + xR$  a cover of  $G$  such that  $G + xR \notin \mathfrak{M}$ . Then  $F \subset (G + xR) \cap V$ , implying the existence of  $y \in ((G + xR) \cap V) \setminus F$  such that

$$yM \subseteq ((G + xR) \cap V)M \subseteq (G + xM) \cap V \subseteq G \cap V = F.$$

Then  $G \subset G + yR$ , since  $y \notin G$  (otherwise  $y \in G \cap V = F$ , which contradicts the choice of  $y$ ) and  $G + yR \subseteq G + xR$ , so  $G + yR = G + xR$ . Therefore  $y = g + xr$  for a unit  $r \in R$  (otherwise  $y \in G$ ). Hence  $r^{-1}y = r^{-1}g + x$ . Taking  $w = r^{-1}y$  we get that  $F + wR$  is a cover of  $F$  since  $w \notin F$  (otherwise  $y \in F$ ) and  $wM \subset F$  because  $yM \subset F$ .

Observe that from this argument it follows that distinct covers of  $G$  which are not in  $\mathfrak{M}$  determine distinct covers of  $F$ .

Let  $F + wR$  and  $F + uR$  be two distinct covers of  $F$  and suppose that  $G + wR = G + uR$ . Then  $u = g + rw$  for some unit  $r \in R$  (since according to the first part of the proof if  $G \in \mathfrak{M}$ ,  $F + wR$  and  $F + uR$  are covers of  $F$ , then  $G + wR$  and  $G + uR$  are covers of  $G$ ), so  $u - rw \in G \cap (F : M) \subseteq Q \cap V = F$ , where  $Q$  is a maximal strongly  $M$ -primary component of  $F$  that contains  $G$ . Therefore  $F + wR = F + uR$ , which contradicts the hypothesis. Hence  $G + wR \neq G + uR$  and the one-to-one correspondence readily follows from this result and (3.4).

Conversely, assume that  $G \notin \mathfrak{M}$ . Then  $F \subset G \cap V$ . Consider  $x \in (G \cap V) \setminus F$ . Then as before, there exists  $y \in F + xR$  such that  $F + yR$  is a cover of  $F$ . But  $y \in F + xR \subseteq G$ , so there does not exist such a one-to-one correspondence.  $\square$

*Remark 3.6.* From (3.5) it follows that if  $Q$  is a strongly  $M$ -primary submodule of  $E$  that contains  $F$ , then  $Q$  is a maximal strongly  $M$ -primary component of  $F$  if and only if the covers of  $Q$  are the distinct submodules  $Q + wR$ , where the submodules  $F + wR$  are distinct covers of  $F$ .

In [5] we introduced the following definition for an  $M$ -primary submodule:

*Definition 3.7.* Let  $Q$  be an  $M$ -primary submodule of  $E$ .  $IC(Q)$  denotes the set of irreducible  $M$ -primary submodules that appear in some decomposition of  $Q$  as an irredundant intersection of irreducible submodules.

We gave in [5] the following description of this set

$$IC(Q) = \{G ; G \text{ is an irreducible submodule of } E, Q \subseteq G \text{ and } Q:M \not\subseteq G\}$$

The next result gives us a connection between covers and the above mentioned set  $IC(Q)$  as well as two characterizations of a maximal strongly  $M$ -primary component of

$F$ . The first equivalence of (3.8) is quite useful since in the case of strong Laskerian modules it localizes nicely (see (3.9)).

**THEOREM 3.8.** *Let  $Q$  be a strongly  $M$ -primary component of  $F$ . Then the following are equivalent:*

(3.8.1)  $Q$  is a maximal strongly  $M$ -primary component of  $F$ .

(3.8.2) No submodule of  $IC(Q)$  contains  $F:M$ .

(3.8.3) For each  $G \in IC(Q)$  there exists  $x \in F:M$  such that  $G + xR$  is the unique cover of  $G$ .

*Proof.* Assume that (3.8.1) holds and let  $G \in IC(Q)$ . Then  $Q:M \not\subseteq G$  by [5, 2.13] and  $Q:M = Q + (F:M)$  by [5, 2.6]. So, since  $Q \subset G$  it follows that  $F:M \not\subseteq G$ , hence (3.8.1) implies (3.8.2).

Suppose that (3.8.2) holds and let  $G \in IC(Q)$ . Then there exists  $x \in (F:M) \setminus G$  hence  $G \subset G + xR$ . Also  $xM \subseteq F \subseteq G$ , hence  $G + xR$  is a cover of  $G$ . Therefore, since  $G$  is an irreducible submodule, it follows that  $G + xR$  is the unique cover of  $G$ , proving thus that (3.8.2) implies (3.8.3). It is obvious that (3.8.2) is a consequence of (3.8.3).

To complete the proof it suffices to show that if (3.8.1) does not hold, then (3.8.2) does not. For this purpose, assume that  $Q$  is not a maximal strongly  $M$ -primary component of  $F$ . Then  $Q + (F:M) \subset Q:M$  by [5, 2.6]. Therefore there exists an irreducible component  $G$  of  $Q + (F:M)$ , that does not contain  $Q:M$ . Then  $Q \subseteq G$  and  $(Q:M) \not\subseteq G$ , that means  $G \in IC(Q)$  by [5, 2.13] and  $(F:M) \subseteq G$  by construction. Therefore (3.8.2) does not hold. Hence we proved that (3.8.2) implies (3.8.1).  $\square$

**COROLLARY 3.9.** *Let  $A$  be a commutative ring with identity,  $P$  a prime ideal of  $A$  with  $ht(P) \geq 1$ ,  $E'$  a strong Laskerian  $A$ -module,  $F'$  a submodule of  $E'$ ,  $Q'$  a strong  $P$ -primary submodule of  $E'$  that contains  $F'$ . Then  $Q'$  is a maximal  $P$ -primary embedded component of  $F'$  if and only if no submodule of  $IC(Q')$  contains  $F' : P$ .*

*Proof.* The statement follows immediately from the implication  $(3.8.1) \Rightarrow (3.8.2)$  and [5, (3.3)].  $\square$

**PROPOSITION 3.10.** *If  $Q$  is a strongly  $M$ -primary submodule of  $E$  and if  $G \in IC(Q)$ , then  $Q : M \subseteq H$ , where  $H$  is the unique cover of  $G$ . Moreover, it holds that  $H = G + (Q : M)$ .*

*Proof.* Let  $G \in IC(Q)$ . Then  $G$  is an irreducible submodule and therefore  $H = G : M$ . Now, since  $Q \subseteq G$ , it follows that  $Q : M \subseteq G : M = H$ . But by [5, (2.13)]  $(Q : M) \not\subseteq G$ , therefore  $H = G + (Q : M)$ .  $\square$

**COROLLARY 3.11.** *If  $Q$  is a maximal strongly  $M$ -primary component of  $F$  and  $G \in IC(Q)$ , then  $F : M$  is a cover of  $G \cap (F : M)$ .*

*Proof.*  $(3.8.1) \Rightarrow (3.8.2)$  shows that  $F : M \not\subseteq G$  and (3.10) shows that  $G + (Q : M)$  is the unique cover of  $G$ . Hence from  $F : M \subseteq Q : M$  we conclude that  $G + (F : M) = G + (Q : M)$ . The conclusion of the corollary follows now from

$$(F : M) / (G \cap (F : M)) \cong (G + (F : M)) / G \quad \square$$

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